

# COVER SHEET

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S.E.C. Registration Number

C	O	L		F	I	N	A	N	C	I	A	L		G	R	O	U	P	,		I	N	C	.				

(Company's Full Name)

2	4	/	F		E	A	S	T		T	O	W	E	R		T	E	K	T	I	T	E						
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C	E	N	T	E	R		P	A	S	I	G		C	I	T	Y												

(Business Address: No. Street City/Town/Province)

Atty. Sharon T. Lim
---------------------

Contact Person

8636-54-11
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Company Telephone Number

1	2		3	1
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Month Day  
Fiscal Year

SEC FORM 17-C
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FORM TYPE

0	4		
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Month Day  
Annual Meeting

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Secondary License Type, If Applicable

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Dept. Requiring this Doc.

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Amended Articles Number/Section

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Total Number of Stockholders

--

Total Amount of Borrowings

Domestic
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none
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Foreign

To be accomplished by SEC Personnel concerned

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File Number

LCU

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Document I.D.

Cashier

STAMPS
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Remarks = pls. Use black ink for scanning purposes

SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17  
OF THE SECURITIES REGULATION CODE  
AND SRC RULE 17.2(c) THEREUNDER

1. **14 March 2025**  
Date of Report (Date of earliest event reported)
2. SEC Identification Number **A199910065** 3. BIR Tax Identification No. **203523208**
4. **COL Financial Group, Inc.**  
Exact name of issuer as specified in its charter
5. **Manila, Philippines** 6.  (SEC Use Only)  
Province, country or other jurisdiction of incorporation Industry Classification Code:
7. **24/F East Tower, Tektite Towers, Exchange Road, Ortigas Center, Pasig City 1605**  
Address of principal office Postal Code
8. **(02) 8636-5411**  
Issuer's telephone number, including area code
9. **Not Applicable**  
Former name or former address, if changed since last report
10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class

Number of Shares of Common Stock  
Outstanding and Amount of Debt  
Outstanding

**Common Shares**

**5,949,999,998**

- .....
11. Indicate the item numbers reported herein: **Item #9**

## Item 9. Other Events

Please be informed that at the meeting of the Board of Directors of COL Financial Group, Inc. held today, 14 March 2025, the Board approved and authorized the release of its Audited Financial Statements for the period ended December 31, 2024.

### SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**COL Financial Group, Inc.**

Issuer

**14 March 2025**

Date

(originally signed)

.....

**SHARON T. LIM**  
**Corporate Secretary**



# SECURITIES AND EXCHANGE COMMISSION

THE SEC HEADQUARTERS 7907 Makati Avenue, Salcedo Village, Bel-Air, Makati City  
1209 Trunk Line No:02-5322-7696 Email Us:www.sec.gov.ph/imessagemo@sec.gov.ph



**The following document has been received:**

**Receiving:** Ma. Theresa Mabuyo

**Receipt Date and Time:** April 25, 2025 11:19:10 AM

## Company Information

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**SEC Registration No.:** A199910065

**Company Name:** COL FINANCIAL GROUP, INC. DOING BUSINESS UNDER THE NAMES AND STYLES OF CITISECONLINE.COM; COL FINANCIAL; COL SECURITIES; AND CITISECONLINE STOCKBROKERS

**Industry Classification:** J68120

**Company Type:** Stock Corporation

## Document Information

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**Document ID:** OST10425202583204724

**Document Type:** Current Report

**Document Code:** SEC\_Form\_17-C

**Period Covered:** April 25, 2025

**Submission Type:** Original Filing

**Remarks:** None

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Acceptance of this document is subject to review of forms and contents

# COVER SHEET

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S.E.C. Registration Number

C	O	L		F	I	N	A	N	C	I	A	L		G	R	O	U	P	,		I	N	C	.				

(Company's Full Name)

2	4	/	F		E	A	S	T		T	O	W	E	R		T	E	K	T	I	T	E						
T	O	W	E	R	S		E	X	C	H	A	N	G	E		R	O	A	D		O	R	T	I	G	A	S	
C	E	N	T	E	R		P	A	S	I	G		C	I	T	Y												

(Business Address: No. Street City/Town/Province)

Atty. Sharon T. Lim
---------------------

Contact Person

8636-54-11
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Company Telephone Number

1	2		3	1
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Month Day  
Fiscal Year

SEC FORM 17-C
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FORM TYPE

0	4		
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Month Day  
Annual Meeting

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Secondary License Type, If Applicable

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Dept. Requiring this Doc.

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Amended Articles Number/Section

--

Total Number of Stockholders

--

Total Amount of Borrowings

Domestic
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none
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Foreign

To be accomplished by SEC Personnel concerned

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File Number

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LCU

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Document I.D.

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Cashier

STAMPS
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SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17  
OF THE SECURITIES REGULATION CODE  
AND SRC RULE 17.2(c) THEREUNDER

1. **25 April 2025**  
Date of Report (Date of earliest event reported)
2. SEC Identification Number **A199910065**
3. BIR Tax Identification No. **203523208**
4. **COL Financial Group, Inc.**  
Exact name of issuer as specified in its charter
5. **Metro Manila, Philippines**  
Province, country or other jurisdiction of incorporation
6. Industry Classification Code:  (SEC Use Only)
7. **24/F East Tower, Tektite Towers, Exchange Road, Ortigas Centr, Pasig City 1605**  
Address of principal office Postal Code
8. **(02) 8636-5411**  
Issuer's telephone number, including area code
9. **Not applicable**  
Former name or former address, if changed since last report
10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class

Number of Shares of Common Stock  
Outstanding and Amount of Debt Outstanding

**Common Shares**

**5,949,999,998**

- .....
11. Indicate the item numbers reported herein: **Item #9**

## Item 9. Other Events

Please be informed that at the meeting of the Board of Directors of COL Financial Group, Inc. held today, 25 April 2025, the Board approved the declaration and payment from the unappropriated retained earnings of the Company as of 31 December 2024, the following cash dividends:

- a. Regular Cash Dividend of ₱0.0157 per share, and
- b. Special Cash Dividend of ₱0.0393 per share,

both payable on 4 June 2025 to stockholders of record as of 16 May 2025.

Thank you.

### SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**COL Financial Group, Inc.**

Issue

**25 April 2025**

Date

(originally signed)

.....

**SHARON T. LIM**  
Corporate Secretary



# SECURITIES AND EXCHANGE COMMISSION

THE SEC HEADQUARTERS 7907 Makati Avenue, Salcedo Village, Bel-Air, Makati City  
1209 Trunk Line No:02-5322-7696 Email Us:www.sec.gov.ph/lmessagemo@sec.gov.ph



**The following document has been received:**

**Receiving:** RICHMOND CARLOS AGTARAP

**Receipt Date and Time:** April 25, 2025 02:36:53 PM

## Company Information

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**SEC Registration No.:** A199910065

**Company Name:** COL FINANCIAL GROUP, INC. DOING BUSINESS UNDER THE NAMES AND STYLES OF CITISECONLINE.COM; COL FINANCIAL; COL SECURITIES; AND CITISECONLINE STOCKBROKERS

**Industry Classification:** J68120

**Company Type:** Stock Corporation

## Document Information

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**Document ID:** OST10425202583206338

**Document Type:** Current Report

**Document Code:** SEC\_Form\_17-C

**Period Covered:** April 25, 2025

**Submission Type:** Original Filing

**Remarks:** None

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Acceptance of this document is subject to review of forms and contents



# COVER SHEET

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S.E.C. Registration Number

C	O	L		F	I	N	A	N	C	I	A	L		G	R	O	U	P	,		I	N	C	.				

(Company's Full Name)

2	4	/	F		E	A	S	T		T	O	W	E	R		T	E	K	T	I	T	E						
T	O	W	E	R	S		E	X	C	H	A	N	G	E		R	O	A	D		O	R	T	I	G	A	S	
C	E	N	T	E	R		P	A	S	I	G		C	I	T	Y												

(Business Address: No. Street City/Town/Province)

Atty. Sharon T. Lim
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Contact Person

8636-54-11
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Company Telephone Number

1	2		3	1
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Month Day  
Fiscal Year

SEC FORM 17-C
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FORM TYPE

0	4		
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Month Day  
Annual Meeting

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Secondary License Type, If Applicable

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Dept. Requiring this Doc.

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Amended Articles Number/Section

--

Total Number of Stockholders

--

Total Amount of Borrowings

Domestic
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none
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Foreign

To be accomplished by SEC Personnel concerned

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File Number

LCU

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Document I.D.

Cashier

STAMPS
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Remarks = pls. Use black ink for scanning purposes

SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17  
OF THE SECURITIES REGULATION CODE  
AND SRC RULE 17.2(c) THEREUNDER

1. **25 April 2025**  
Date of Report (Date of earliest event reported)
2. SEC Identification Number **A199910065**
3. BIR Tax Identification No. **203523208**
4. **COL Financial Group, Inc.**  
Exact name of issuer as specified in its charter
5. **Metro Manila, Philippines**  
Province, country or other jurisdiction of incorporation
6. Industry Classification Code:  (SEC Use Only)
7. **24/F East Tower, Tektite Towers, Exchange Road, Ortigas Centr, Pasig City 1605**  
Address of principal office Postal Code
8. **(02) 8636-5411**  
Issuer's telephone number, including area code
9. **Not applicable**  
Former name or former address, if changed since last report
10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class

Number of Shares of Common Stock  
Outstanding and Amount of Debt Outstanding

**Common Shares**

**5,949,999,9998**

- .....
11. Indicate the item numbers reported herein: **Item #9**

## Item 9. Other Events

Please be informed that at the Annual Stockholders' Meeting of **COL Financial Group, Inc.** held today, 25 April 2025, the following matters were taken up and approved by the stockholders:

1. Approval of the Minutes of the Special Stockholders' Meeting held on 27 September 2024;
2. Approval of the President's Report;
3. Ratification of all Acts and Proceedings of the Board of Directors and Management for 2024;
4. Election of the following as members of the Board of Directors for the year 2025 - 2026:

Edward K. Lee	-	Chairman
Alexander C. Yu	-	Vice Chairman
Hernan G. Lim	-	Director
Conrado F. Bate	-	Director
Raymond C. Yu	-	Director
Paulwell Han	-	Director
Wellington C. Yu	-	Director
Kosuke Mizuno	-	Director
Arthur Gerrard Gindap	-	Independent Director
Betty C. Siy-Yap	-	Independent Director
Roberto C. Benares	-	Independent Director

5. Approval of the 2024 Audited Financial Statements; and
6. Re-appointment of SGV & Co. as external auditors for the ensuing year.

Immediately after the stockholders' meeting, the newly elected directors convened for an organizational meeting to appoint/elect the following corporate officers and members of the various committees:

### 1. Officers

Edward K. Lee	-	Chairman
Alexander C. Yu	-	Vice Chairman
Arthur Gerrard Gindap	-	Lead Independent Director
Conrado F. Bate	-	President and CEO
Catherine L. Ong	-	Treasurer
	-	Chief Audit Executive
	-	Chief Risk Officer
Lorena E. Velarde	-	Chief Financial Officer
Sharon T. Lim	-	Corporate Secretary
	-	Compliance Officer
Juan G. Barredo	-	Assistant Corporate Secretary
Stephanie Faye B. Reyes	-	Assistant Corporate Secretary

## 2. Committees and Memberships

### Compensation and Remuneration Committee

Alexander C. Yu	-	Chairman
Wellington C. Yu	-	Member
Catherine L. Ong	-	Member
Roberto C. Benares	-	Member

### Audit Committee

Betty C. Siy-Yap	-	Chairman
Raymond C. Yu	-	Member
Hernan G. Lim	-	Member
Wellington C. Yu	-	Member

### Nomination Committee

Arthur Gerrard Gindap	-	Chairman
Alexander C. Yu	-	Member
Sharon T. Lim	-	Member

Thank you.

## SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**COL Financial Group, Inc.**

Issuer

**25 April 2025**

Date

(originally signed)

.....

**SHARON T. LIM**  
**Corporate Secretary**



# SECURITIES AND EXCHANGE COMMISSION

THE SEC HEADQUARTERS 7907 Makati Avenue, Salcedo Village, Bel-Air, Makati City  
1209 Trunk Line No:02-5322-7696 Email Us:www.sec.gov.ph/imessagemo@sec.gov.ph



**The following document has been received:**

**Receiving:** RICHMOND CARLOS AGTARAP

**Receipt Date and Time:** May 16, 2025 02:55:00 PM

## Company Information

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**SEC Registration No.:** A199910065

**Company Name:** COL FINANCIAL GROUP, INC. DOING BUSINESS UNDER THE NAMES AND STYLES OF CITISECONLINE.COM; COL FINANCIAL; COL SECURITIES; AND CITISECONLINE STOCKBROKERS

**Industry Classification:** J68120

**Company Type:** Stock Corporation

## Document Information

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**Document ID:** OST10516202583351899

**Document Type:** Current Report

**Document Code:** SEC\_Form\_17-C

**Period Covered:** May 16, 2025

**Submission Type:** Original Filing

**Remarks:** None

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Acceptance of this document is subject to review of forms and contents

# COVER SHEET

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S.E.C. Registration Number

C	O	L		F	I	N	A	N	C	I	A	L		G	R	O	U	P	,		I	N	C	.				

(Company's Full Name)

2	4	/	F		E	A	S	T		T	O	W	E	R		T	E	K	T	I	T	E						
T	O	W	E	R	S		E	X	C	H	A	N	G	E		R	O	A	D		O	R	T	I	G	A	S	
C	E	N	T	E	R		P	A	S	I	G		C	I	T	Y												

(Business Address: No. Street City/Town/Province)

Atty. Sharon T. Lim
---------------------

Contact Person

8636-54-11
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Company Telephone Number

1	2		3	1
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Month Day  
Fiscal Year

SEC FORM 17-C
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FORM TYPE

0	4		
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Month Day  
Annual Meeting

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Secondary License Type, If Applicable

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Dept. Requiring this Doc.

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Amended Articles Number/Section

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Total Number of Stockholders

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Total Amount of Borrowings

Domestic
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none
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Foreign

To be accomplished by SEC Personnel concerned

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File Number

LCU

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Document I.D.

Cashier

STAMPS
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SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17  
OF THE SECURITIES REGULATION CODE  
AND SRC RULE 17.2(c) THEREUNDER

1. **16 May 2025**  
Date of Report (Date of earliest event reported)
2. SEC Identification Number **A199910065** 3. BIR Tax Identification No. **203523208**
4. **COL Financial Group, Inc.**  
Exact name of issuer as specified in its charter
5. **Manila, Philippines** 6.  (SEC Use Only)  
Province, country or other jurisdiction of Industry Classification Code:  
incorporation
7. **24/F East Tower, Tektite Towers, Exchange Road, Ortigas Center, Pasig City 1605**  
Address of principal office Postal Code
8. **(02) 8636-5411**  
Issuer's telephone number, including area code
9. **Not Applicable**  
Former name or former address, if changed since last report
10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA  

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding
<b><u>Common Shares</u></b>	<b><u>5,949,999,998</u></b>

.....
11. Indicate the item numbers reported herein: **Item #9**

## Item 9. Other Events

Please be informed that at the meeting of the Board of Directors of COL Financial Group, Inc. (the "Company") held today, 16 May 2025, the Operations and Financial Report of the Company for the quarter ended 31 March 2025 was approved.

The Company's Quarterly Report on SEC Form 17-Q for the period will be filed on or before 20 May 2025.

### SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**COL Financial Group, Inc.**

Issuer

**16 May 2025**

Date

(originally signed)

.....

**SHARON T. LIM**  
**Corporate Secretary**





# SECURITIES AND EXCHANGE COMMISSION

THE SEC HEADQUARTERS 7907 Makati Avenue, Salcedo Village, Bel-Air, Makati City  
1209 Trunk Line No:02-5322-7696 Email Us:www.sec.gov.ph/imessagemo@sec.gov.ph



**The following document has been received:**

**Receiving:** RICHMOND CARLOS AGTARAP

**Receipt Date and Time:** June 17, 2025 02:41:49 PM

## Company Information

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**SEC Registration No.:** A199910065

**Company Name:** COL FINANCIAL GROUP, INC. DOING BUSINESS UNDER THE NAMES AND STYLES OF CITISECONLINE.COM; COL FINANCIAL; COL SECURITIES; AND CITISECONLINE STOCKBROKERS

**Industry Classification:** J68120

**Company Type:** Stock Corporation

## Document Information

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**Document ID:** OST10617202583508861

**Document Type:** Current Report

**Document Code:** SEC\_Form\_17-C

**Period Covered:** June 17, 2025

**Submission Type:** Original Filing

**Remarks:** None

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Acceptance of this document is subject to review of forms and contents

# COVER SHEET

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S.E.C. Registration Number

C	O	L		F	I	N	A	N	C	I	A	L		G	R	O	U	P	,		I	N	C	.				

(Company's Full Name)

2	4	/	F		E	A	S	T		T	O	W	E	R		T	E	K	T	I	T	E						
T	O	W	E	R	S		E	X	C	H	A	N	G	E		R	O	A	D		O	R	T	I	G	A	S	
C	E	N	T	E	R		P	A	S	I	G		C	I	T	Y												

(Business Address: No. Street City/Town/Province)

Atty. Sharon T. Lim
---------------------

Contact Person

8636-54-11
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Company Telephone Number

1	2		3	1
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Month Day  
Fiscal Year

SEC FORM 17-C
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FORM TYPE

0	4		
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Month Day  
Annual Meeting

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Secondary License Type, If Applicable

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Dept. Requiring this Doc.

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Amended Articles Number/Section

--

Total Number of Stockholders

--

Total Amount of Borrowings

Domestic
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none
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Foreign

To be accomplished by SEC Personnel concerned

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File Number

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SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17  
OF THE SECURITIES REGULATION CODE  
AND SRC RULE 17.2(c) THEREUNDER

1. **17 June 2025**  
Date of Report (Date of earliest event reported)
2. SEC Identification Number **A199910065** 3. BIR Tax Identification No. **203523208**
4. **COL Financial Group, Inc.**  
Exact name of issuer as specified in its charter
5. **Manila, Philippines** 6.  (SEC Use Only)  
Province, country or other jurisdiction of incorporation Industry Classification Code:
7. **24/F East Tower, Tektite Towers, Exchange Road, Ortigas Center, Pasig City 1605**  
Address of principal office Postal Code
8. **(02) 8636-5411**  
Issuer's telephone number, including area code
9. **Not Applicable**  
Former name or former address, if changed since last report
10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class

Number of Shares of Common Stock  
Outstanding and Amount of Debt  
Outstanding

**Common Shares**

**5,949,999,998**

- .....
11. Indicate the item numbers reported herein: **Item #9**

## Item 9. Other Events

Please be informed of the change in designation of Mr. Gabriel Jose E. Mendiola from AVP – Head of Software Development to Head of Software Development, effective 16 June 2025.

### SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**COL Financial Group, Inc.**

Issuer

**17 June 2025**

Date

(originally signed)

.....  
**STEPHANIE FAYE B. REYES**  
**Assistant Corporate Secretary**



# SECURITIES AND EXCHANGE COMMISSION

THE SEC HEADQUARTERS 7907 Makati Avenue, Salcedo Village, Bel-Air, Makati City  
1209 Trunk Line No:02-5322-7696 Email Us:www.sec.gov.ph/lmessagemo@sec.gov.ph



**The following document has been received:**

**Receiving:** DONNA ENCARNADO

**Receipt Date and Time:** July 01, 2025 02:12:04 PM

## Company Information

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**SEC Registration No.:** A199910065

**Company Name:** COL FINANCIAL GROUP, INC. DOING BUSINESS UNDER THE NAMES AND STYLES OF CITISECONLINE.COM; COL FINANCIAL; COL SECURITIES; AND CITISECONLINE STOCKBROKERS

**Industry Classification:** J68120

**Company Type:** Stock Corporation

## Document Information

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**Document ID:** OST10701202583562136

**Document Type:** Current Report

**Document Code:** SEC\_Form\_17-C

**Period Covered:** July 01, 2025

**Submission Type:** Original Filing

**Remarks:** None

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Acceptance of this document is subject to review of forms and contents

# COVER SHEET

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S.E.C. Registration Number

C	O	L		F	I	N	A	N	C	I	A	L		G	R	O	U	P	,		I	N	C	.				

(Company's Full Name)

2	4	/	F		E	A	S	T		T	O	W	E	R		T	E	K	T	I	T	E						
T	O	W	E	R	S		E	X	C	H	A	N	G	E		R	O	A	D		O	R	T	I	G	A	S	
C	E	N	T	E	R		P	A	S	I	G		C	I	T	Y												

(Business Address: No. Street City/Town/Province)

Atty. Sharon T. Lim
---------------------

Contact Person

8636-54-11
------------

Company Telephone Number

1	2		3	1
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Month Day  
Fiscal Year

SEC FORM 17-C
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FORM TYPE

0	4		
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Month Day  
Annual Meeting

--

Secondary License Type, If Applicable

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Dept. Requiring this Doc.

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Amended Articles Number/Section

--

Total Number of Stockholders

--

Total Amount of Borrowings

Domestic
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none
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Foreign

To be accomplished by SEC Personnel concerned

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File Number

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LCU

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Document I.D.

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Cashier

STAMPS
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Remarks = pls. Use black ink for scanning purposes

SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17  
OF THE SECURITIES REGULATION CODE  
AND SRC RULE 17.2(c) THEREUNDER

1. **1 July 2025**  
Date of Report (Date of earliest event reported)
2. SEC Identification Number **A199910065** 3. BIR Tax Identification No. **203523208**
4. **COL Financial Group, Inc.**  
Exact name of issuer as specified in its charter
5. **Manila, Philippines** 6.  (SEC Use Only)  
Province, country or other jurisdiction of incorporation Industry Classification Code:
7. **24/F East Tower, Tektite Towers, Exchange Road, Ortigas Center, Pasig City 1605**  
Address of principal office Postal Code
8. **(02) 8636-5411**  
Issuer's telephone number, including area code
9. **Not Applicable**  
Former name or former address, if changed since last report
10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class

Number of Shares of Common Stock  
Outstanding and Amount of Debt  
Outstanding

**Common Shares**

**5,949,999,998**

- .....
11. Indicate the item numbers reported herein: **Item #9**

## Item 9. Other Events

Please be informed that at the meeting held today, the Board of Directors of COL Financial Group, Inc. (the "Company") approved the appointment of Mr. Melvin J. Esteban as Head of Wealth Management effective 1 July 2025.

Mr. Melvin J. Esteban is the first and only Filipino recipient of the Young ASEAN Manager Award (YAMA), conferred in Kuala Lumpur, Malaysia. He is also the first and only Filipino to have chaired the ASEAN Insurance Congress, a premier regional forum for insurance and financial leaders. He is a Fellow of the Life Management Institute (FLMI) with Distinction and holds multiple international designations, including Certified Financial Planner (CFP®), Registered Financial Planner (RFP) accredited by the Registered Financial Planning Institute in the USA, and Certified Financial Consultant (CFC) awarded with High Distinction by the Institute of Financial Consultants in Canada.

With nearly three decades of experience in the financial services industry, Mr. Esteban has demonstrated leadership and expertise in Fund Management, Marketing, Product Development, Strategic Business Development, Profit Center Management, and Workplace Learning and Development. He served as Head of Wealth Management Specialists and Soliciting Official for Citibank N.A. in North America, and as Senior Consultant for Citibank Malaysia.

Mr. Esteban holds a Bachelor of Science degree in Mathematics from the University of the Philippines, with minors in operations research, actuarial sciences, C programming, and physics. His academic credentials include postgraduate studies at the College of Financial Planning in Colorado, USA, completion of the Managing Family Corporations for Succession Planning program at the Asian Institute of Management, and a Post-Baccalaureate Diploma in Workplace Learning and Development with Distinction from De La Salle University, Philippines.

## SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**COL Financial Group, Inc.**

Issuer

**1 July 2025**

Date

(originally signed)

.....

**SHARON T. LIM**  
**Corporate Secretary**





# SECURITIES AND EXCHANGE COMMISSION

THE SEC HEADQUARTERS 7907 Makati Avenue, Salcedo Village, Bel-Air, Makati City  
1209 Trunk Line No:02-5322-7696 Email Us:www.sec.gov.ph/lmessagemo@sec.gov.ph



**The following document has been received:**

**Receiving:** RICHMOND CARLOS AGTARAP

**Receipt Date and Time:** August 15, 2025 03:29:08 PM

## Company Information

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**SEC Registration No.:** A199910065

**Company Name:** COL FINANCIAL GROUP, INC. DOING BUSINESS UNDER THE NAMES AND STYLES OF CITISECONLINE.COM; COL FINANCIAL; COL SECURITIES; AND CITISECONLINE STOCKBROKERS

**Industry Classification:** J68120

**Company Type:** Stock Corporation

## Document Information

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**Document ID:** OST10815202583661020

**Document Type:** Current Report

**Document Code:** SEC\_Form\_17-C

**Period Covered:** August 15, 2025

**Submission Type:** Original Filing

**Remarks:** None

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Acceptance of this document is subject to review of forms and contents

# COVER SHEET

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S.E.C. Registration Number

C	O	L		F	I	N	A	N	C	I	A	L		G	R	O	U	P	,		I	N	C	.				

(Company's Full Name)

2	4	/	F		E	A	S	T		T	O	W	E	R		T	E	K	T	I	T	E						
T	O	W	E	R	S		E	X	C	H	A	N	G	E		R	O	A	D		O	R	T	I	G	A	S	
C	E	N	T	E	R		P	A	S	I	G		C	I	T	Y												

(Business Address: No. Street City/Town/Province)

Atty. Sharon T. Lim
---------------------

Contact Person

8636-54-11
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Company Telephone Number

1	2		3	1
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Month Day  
Fiscal Year

SEC FORM 17-C
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FORM TYPE

0	4		
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Month Day  
Annual Meeting

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Secondary License Type, If Applicable

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Dept. Requiring this Doc.

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Amended Articles Number/Section

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Total Number of Stockholders

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Total Amount of Borrowings

Domestic
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none
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Foreign

To be accomplished by SEC Personnel concerned

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File Number

\_\_\_\_\_  
LCU

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Document I.D.

\_\_\_\_\_  
Cashier

STAMPS
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SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17  
OF THE SECURITIES REGULATION CODE  
AND SRC RULE 17.2(c) THEREUNDER

1. **15 August 2025**  
Date of Report (Date of earliest event reported)
2. SEC Identification Number **A199910065** 3. BIR Tax Identification No. **203523208**
4. **COL Financial Group, Inc.**  
Exact name of issuer as specified in its charter
5. **Manila, Philippines** 6.  (SEC Use Only)  
Province, country or other jurisdiction of Industry Classification Code:  
incorporation
7. **24/F East Tower, Tektite Towers, Exchange Road, Ortigas Center, Pasig City 1605**  
Address of principal office Postal Code
8. **(02) 8636-5411**  
Issuer's telephone number, including area code
9. **Not Applicable**  
Former name or former address, if changed since last report
10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA  

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding
<b><u>Common Shares</u></b>	<b><u>5,949,999,998</u></b>
11. Indicate the item numbers reported herein: **Item #9**

## Item 9. Other Events

Please be informed that at the meeting of the Board of Directors of COL Financial Group, Inc. (the “Company”) held today, 15 August 2025, the Operations and Financial Report of the Company for the quarter ended 30 June 2025 was approved.

The Company’s Quarterly Report on SEC Form 17-Q for the period will be filed on or before 19 August 2025.

### SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**COL Financial Group, Inc.**

Issuer

**15 August 2025**

Date

(originally signed)

.....

**SHARON T. LIM**  
**Corporate Secretary**



## SECURITIES AND EXCHANGE COMMISSION

Secretariat Building, PICC Complex, Roxas Boulevard, Pasay City, 1307 Metro Manila Philippines

Tel: (632) 818-0921 Fax: (632) 818-5293 Email: mis@sec.gov.ph



**The following document has been received:**

**Receiving:** DONNA ENCARNADO

**Receipt Date and Time:** November 14, 2025 03:36:44 PM

### Company Information

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**SEC Registration No.:** A199910065

**Company Name:** COL FINANCIAL GROUP, INC. DOING BUSINESS UNDER THE NAMES AND STYLES OF CITISECONLINE.COM; COL FINANCIAL; COL SECURITIES; AND CITISECONLINE STOCKBROKERS

**Industry Classification:** J68120

**Company Type:** Stock Corporation

### Document Information

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**Document ID:** OST11114202583818619

**Document Type:** Current Report

**Document Code:** SEC\_Form\_17-C

**Period Covered:** November 14, 2025

**Submission Type:** Original Filing

**Remarks:** None

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Acceptance of this document is subject to review of forms and contents

# COVER SHEET

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S.E.C. Registration Number

C	O	L		F	I	N	A	N	C	I	A	L		G	R	O	U	P	,		I	N	C	.				

(Company's Full Name)

2	4	/	F		E	A	S	T		T	O	W	E	R		T	E	K	T	I	T	E						
T	O	W	E	R	S		E	X	C	H	A	N	G	E		R	O	A	D		O	R	T	I	G	A	S	
C	E	N	T	E	R		P	A	S	I	G		C	I	T	Y												

(Business Address: No. Street City/Town/Province)

Atty. Sharon T. Lim
---------------------

Contact Person

8636-54-11
------------

Company Telephone Number

1	2		3	1
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Month Day  
Fiscal Year

SEC FORM 17-C
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FORM TYPE

0	4		
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Month Day  
Annual Meeting

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Secondary License Type, If Applicable

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Dept. Requiring this Doc.

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Amended Articles Number/Section

--

Total Number of Stockholders

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Total Amount of Borrowings

Domestic
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none
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Foreign

To be accomplished by SEC Personnel concerned

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File Number

\_\_\_\_\_  
LCU

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Document I.D.

\_\_\_\_\_  
Cashier

STAMPS
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SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17  
OF THE SECURITIES REGULATION CODE  
AND SRC RULE 17.2(c) THEREUNDER

1. **14 November 2025**  
Date of Report (Date of earliest event reported)
2. SEC Identification Number **A199910065** 3. BIR Tax Identification No. **203523208**
4. **COL Financial Group, Inc.**  
Exact name of issuer as specified in its charter
5. **Manila, Philippines** 6.  (SEC Use Only)  
Province, country or other jurisdiction of Industry Classification Code:  
incorporation
7. **24/F East Tower, Tektite Towers, Exchange Road, Ortigas Center, Pasig City 1605**  
Address of principal office Postal Code
8. **(02) 8636-5411**  
Issuer's telephone number, including area code
9. **Not Applicable**  
Former name or former address, if changed since last report
10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA  

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding
<b><u>Common Shares</u></b>	<b><u>5,949,999,998</u></b>

.....
11. Indicate the item numbers reported herein: **Item #9**

## Item 9. Other Events

Please be informed that at the meeting of the Board of Directors of COL Financial Group, Inc. (the "Company") held today, 14 November 2025, the following resolutions were approved:

1. Operations and Financial Report of the Company for the quarter ended 30 September 2025.
2. Proposed amendments to the Company's By-Laws as specified in the attachment.
3. Setting of the Annual Stockholders' Meeting on 30 April 2026, Thursday, 2:00 P.M.

As approved by a majority of the Board of Directors, the Company will be conducting the annual stockholders' meeting by remote communication.

Stockholders may attend and participate by remote communication and vote in absentia or by proxy, using the online portal and in accordance with the procedure to be disclosed in the Information Statement and published in the Company's website at [www.colfinancial.com](http://www.colfinancial.com).

Only stockholders of record at the close of business on 30 March 2026 are entitled to notice of and to vote at said meeting and any adjournment thereof. As per By-Laws, the Stock and Transfer Books of the Company will be closed from 30 March 2026 to 30 April 2026.

## SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**COL Financial Group, Inc.**

Issuer

**14 November 2025**

Date

(originally signed)

.....  
**SHARON T. LIM**  
**Corporate Secretary**



COL Financial Group, Inc.  
Proposed Amendments to the By-Laws

FROM	TO
<b>ARTICLE III – BOARD OF DIRECTORS</b>	
<p><b>Section 2. Election and Term</b> – The Board of Directors shall be composed of eleven (11) members, a minimum of two (2) but not more than four (4) of whom shall be Independent Directors, to be elected during each regular meeting of stockholders. An “independent director” is a person who, apart from his fees and shareholdings, which shareholdings do not exceed two percent (2%) of the shares of the company and/or its related companies or any of its substantial shareholders, is independent of management and free from any business or other relationship which could, or could reasonably be perceived to, materially interfere with his exercise of independent judgment in carrying out his responsibilities as a director of the corporation.</p> <p>The Board of Directors shall be elected during each regular meeting of stockholders and shall hold office for one (1) year and until their successors are elected and qualified.</p>	<p><b>Section 2. Election and Term</b> – The Board of Directors shall be composed of eleven (11) members, a minimum of two (2) but not more than four (4) of whom shall be Independent Directors, to be elected during each regular meeting of stockholders. An “independent director” is a person who, apart from his fees and shareholdings, which shareholdings do not exceed two percent (2%) of the shares of the company and/or its related companies or any of its substantial shareholders, is independent of management and free from any business or other relationship which could, or could reasonably be perceived to, materially interfere with his exercise of independent judgment in carrying out his responsibilities as a director of the corporation.</p> <p>The Board of Directors shall be elected during each regular meeting of stockholders and shall hold office for one (1) year and until their successors are elected and qualified; <b><u>provided that, subject to applicable laws and regulations, independent directors may be elected for a term not exceeding three (3) years.</u></b></p>